No. 0106 P. 1 RECEIVED **CENTRAL FAX CENTER**

AUG 2 8 2006

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FACSIMILE COVER SHEET

August 28, 2006

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Phone No.: (571) 272-6964 Examiner: McDieunel Marc Fax No.: (571) 273-8300 From: Brian M. Dugan Our File No.: Docket No. 7676/Y01/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

: Michael Robert Rice et al.

Serial No. : 10/650,480

Filed

August 28, 2003

For

SUBSTRATE CARRIER HANDLER THAT UNLOADS

SUBSTRATE CARRIERS DIRECTLY FROM A

MOVING CONVEYOR

Examiner :

McDieunel Marc

Group Art Unit :

3661

6 TOTAL NUMBER OF PAGES INCLUDING THIS PAGE:

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Docket No. 7676/Y01/SYNX/JW

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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AUG 28 2006

Re:

Inventor(s):

Michael R. Rice, Martin R. Elliott, Robert B. Lowrance, Jeffrey C. Hudgens and Eric A. Englhardt

Title:

SUBSTRATE CARRIER HANDLER THAT UNLOADS SUBSTRATE CARRIERS DIRECTLY FROM

A MOVING CONVEYOR

Serial No.:

10/650,480

Filed:

August 28, 2003 Marc, McDieunel

Examiner: Group Art Unit: 3661

Transmitted herewith is:

PTO Form 1449; and <u>X</u>

Information Disclosure Statement with three cited references. X

FEE CALCU	LATION				in the second se
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$50.00	\$0.00
Independent Claims	N/A	-3=	-0-	X \$200.00	\$0.00
Basic Filing Fee (\$300.00), Utility Search Fee (\$500.00), Utility Examination Fee (\$200.00) \$1000.00					\$0.00
TOTAL FEES					PAID

The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to XXDeposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

Please address all future correspondence to: $\mathbf{X}\mathbf{X}$

Customer # 41161 Dugan & Dugan, PC 55 South Broadway Tarrytown, NY 10591

Certificate of Mailing/Transmission (37 C.F.R. Section 1.8(a)) I hereby certify that, on the date shown below, this correspondence is being:

X transmitted by facsimile to the U.S. Patent and Trademark

August 28, 2006 Date

Brian M. Dugan

(name of person certifying)

Office

Respectfully submitted,

Brian M. Dugan Registration No. 41,720

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AUG 28 2006

PATENTS 7676/Y01/SYNX/JW

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Applicant(s)

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CONVEYOR

Group Art Unit :

3661

Customer No.

41161

Mail Stop Amendment
Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

:

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, applicants wish to call the attention of the Examiner to the following references:

U.S. Patent No. 6,699,329, Mueller et al.

Certificate of Mailing/Transmission (37 C.F.R. Section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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U.S. Patent No. 6,919,001, Fairbairn et al.

Foreign Art Reference No. JP 10256346 A (Japan)

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,

Brian M. Dugan, Esq. Registration No. 41,720

Dugan & Dugan, PC

Attorneys for Applicants

(914)332-9081

Dated: August 28, 2006

Tarrytown, New York

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Sheet 1 of 1 sheets

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No. 0106 P. 5

Serial No.: Docket No.: U.S. Department of Commerce, Patent and Trademark Office 10/650,480 7676/Y01/SYNX/JW LIST OF RELEVANT ART CITED BY APPLICANT Applicants: (Use several sheets if necessary) Michael R. Rice, ct al Group: Filing Date: 3661 August 28, 2003 U.S. Patent Documents Filing Date If *Examiner Document Issue Subclass Appropriate Class Name Initial Number Date 6,699,329 03-02-2004 Mueller et al. US-1 6,919,001 07-19-2005 Fairbaim et al. US-2 US-3 US-4 US-5 US-6 US-7 US-8 US-9 **US-10** US-11 Translation Foreign Patent Documents Document No Class Subclass Yes Country Number Date **Abstract** F-1 JP 10256346 A 9-25-1998 Japan F-2 F-3 F-4 F-5 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) OT-1 OT-2 OT-3 Date Considered Examiner EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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